PTO/SB/08A (08-03) ubstitute for form 1449A/PTO Complete if Known **Application Number** 10/797,215 INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. Art Unit 3732 (use as many sheets as necessary) **Examiner Name** Unassigned Sheet of 10 018563-001550US **Attorney Docket Number** 

Examiner Initials*	Cite	Document Number		1	
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Substitute for form 1449A/PTO Complete if Known 10/797,215 **Application Number** INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. **Art Unit** 3732 (use as many sheets as necessary) **Examiner Name** Unassigned Sheet 018563-001550US 10 **Attorney Docket Number** 

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T	cw	EP	490,848	B1	06-17-1992	Andersson et al.		
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		NON PATENT LITERATURE DOCUMENTS	
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Unassigned 018563-001550US Sheet of 10 Attorney Docket Number

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	τ:
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Examiner Signature	Melba Bumgarner	Date Considered	1/6/06

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Substitute for form 1449B/PTO Complete if Known Application Number 10/797,215 INFORMATION DISCLOSURE Filing Date March 9, 2004 STATEMENT BY APPLICANT First Named Inventor PHAN, LOC X. Art Unit 3732 (use as many sheets as necessary) Examiner Name Unassigned Sheet 10 Attorney Docket Number 018563-001550US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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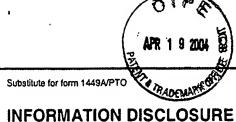
Substitute for form 1449B/PTO Complete if Known Application Number 10/797,215 INFORMATION DISCLOSURE March 9, 2004 Filing Date STATEMENT BY APPLICANT PHAN, LOC X. First Named Inventor 3732 Art Unit (use as many sheets as necessary) Unassigned Examiner Name 10 Attorney Docket Number 018563-001550US Sheet of 10

		NON PATENT LITERATURE DOCUMENTS	
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Examiner Signature	Melba Bungurner	Date Considered	1/6/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

	Complete if Known
Application Number	10/797,215
Filing Date	February 9, 2004
First Named Inventor	PHAN, LOC X.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	018563-001550US

			U.S. PATENT DO	CUMENTS+	
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				Application Number	10/797,215	
INFO	DRMATION	l DI	SCLOSURE	Filing Date	February 9, 2004	
STATEMENT BY APPLICANT		First Named Inventor	PHAN, LOC X.			
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Cite No.1 Number Kind Code <sup>2</sup> (# known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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Examiner Signature	Melba Bungarner	Date Considered	1/6/06	•

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Examiner Signature	Melba Bungarnor	Date Considered	1/4/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute f	or form 1449B/PTC	)		Complete if Known			
INITO	355 6 710 11	DIO	01.001105	Application Number	10/797,215		
			CLOSURE	Filing Date	February 9, 2004		
STAT	EMENT B	YΑ	PPLICANT	First Named Inventor	PHAN, LOC X.		
				Art Unit	Unassigned		
(0	(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet	6	of	6	Attorney Docket Number	018563-001550US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposlum, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
COB	132	SHILLIDAY, *Minimizing finishing problems with the mini-positioner" Am. J. Onhod. (1971) 59:596-599.	
	133	WARUNEK et al., "Clinical use of silicone elastomer appliances" JCO (1989) XXIII(10):694-700.	
	134	WARUNEK et al., "Physical and mechanical properties of elastomers in orthodontic positioners" Am. J. Orthod. Dentofac. Orthop. (1989) 95:388-400.	
$\downarrow$	135	WELLS, "Application of the positioner appliance in orthodontic treatment" Am. J. Onhodont. (1970) 58:351-368.	
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Examiner Signature	Melba Bumgarner	Date Considered	1/4/06

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